



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,420	11/27/2001	William W. Schlaepfer	PENN-0787

Licata & Tyrrell P.C.
66 E Main Street
Marlton, NJ 08053



CONFIRMATION NO. 9883

FORMALITIES LETTER



OC000000007238193

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/13/2002 BSAYAS11 00000078 501619 09994420

01 FC:218 720.00 CH

06/13/2002 BSAYAS11 00000078 09994420

01 FC:218 720.00 CH

06-10-02 MP/4

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PENN-0787 ✓

In Re Application Of: Schlaepfer et al.

Serial No.
09/994,420

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
1632

Invention: **Methods and Transgenic Mouse Model for Identifying and Modulating Factors Leading to Motor Neuron Degeneration**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
December 28, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

06/13/2002 PSYASII 00000070 501619 09994420
02 FC:205 65.00 CH

06/13/2002 PSYASII 00000070 09994420
02 FC:205 65.00 CH

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Filing Date Granted (PTO-1533)(Small Entity)**

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Group Art Unit
1632

Invention: **Methods and Transgenic Mouse Model for Identifying and Modulating Factors Leading to Motor Neuron Degeneration**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: **March 1, 2002**
Date

until: **June 28, 2002**
Date

Total time extension fees **\$720.00**

Total fees due **\$785.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
PENN-0787

In Re Application Of: **Schlaepfer et al.**

Serial No.
09/994,420

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
1632

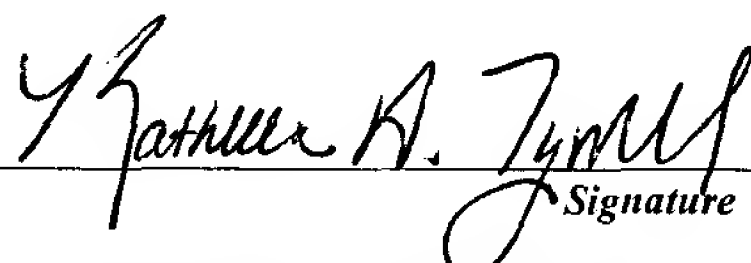
Invention: **Methods and Transgenic Mouse Model for Identifying and Modulating Factors Leading to Motor Neuron Degeneration**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$785.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-1619**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-1619**
A duplicate copy of this sheet is enclosed.

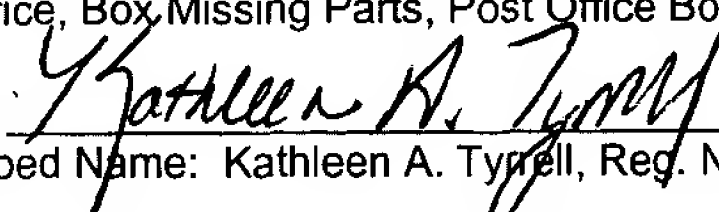

Signature

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Dated: **June 6, 2002**

"Express Mail" Label No. **EV124972405US**
Date of Deposit **June 6, 2002**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, Box Missing Parts, Post Office Box 2327, Arlington, VA 22202

By 
Typed Name: **Kathleen A. Tyrrell, Reg. No. 38,350**

CC: